

Stanford Research Park • 700 Hansen Way • Palo Alto, CA 94304-1016 • 650.849.6600 • Fax 650.849.6666 www.finnegan.com

GARY J. EDWARDS

650.849.6622 gary.edwards@finnegan.com

September 16, 2003

ATTORNEY DOCKET NO. 9136.0004 CUSTOMER NO. 22,852



Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

New U.S. Patent Application

Title: OPTICAL TRANSMISSION CONTROLLER

Inventor: Xiangzhong WANG

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

- 1. Application 26 pages, including one title page, specification (20 pages, pages 2-21), claims (4 pages, pages 22-25), and one Abstract page (page 26); 4 independent claims and 19 claims total.
- 2. Drawings 8 sheets of drawings (Figures 1, 2, 3, 4, 5A, 5B, 6, 7A, 7B, 7C, and 8).
- 3. Unsigned Declaration and Power of Attorney (2 pages, pages 1-2).
- 4. Request for Non-Publication of Application and Certification Under 35 U.S.C. § 122(b)(2)(B)(i) (2 pages, pages 1-2).
- 5. The filing fee is calculated as follows:

| Basic Application Filing Fee | | | | | \$750 | \$ | 750.00 |
|---|-----------|---|-------|----------|--------|--------|--------|
| | Number of | | Basic | Extra | | | |
| Total Claims | Claims 19 | | 20 | Claims 0 | x \$18 | + | |
| | | | | - | | | |
| Independent Claims | 4 | - | 3 | 1 | x \$84 | \$ | 84.00 |
| Presentation of Multiple Dep. Claim(s) +\$280 | | | | | | | |
| Subtotal | | | | | | \$ | 834.00 |
| Reduction by 1/2 if small entity | | | | | | - | |
| TOTAL APPLICATION FILING FEE | | | | | \$ | 834.00 | |

Commissioner for Patents September 16, 2003 Page 2

6. Please charge \$834.00 to Deposit Account No. 06-0916. The fee includes:

\$750.00 filing fee; and \$84.00 additional claims fee.

Please address all correspondence with respect to this application to:

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315

This application will be completed in accordance with 37 C.F.R. § 1.53(f) upon receiving a Notice to File Missing Parts of Application.

Please accord this application an application number and filing date.

The Commissioner is hereby authorized to charge any additional fees due and any other fees under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Gary J. Edwards

Reg. No. 41,008

GJE/lp

Enclosures

Express Mail Label No. EV 351294369 US

PATENT Customer No. 22,852 Attorney Docket No. 9136.0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|--------------------------------------|------------------------------------|
| Xiangzhong WANG |) Oroup Art Unit: Not Yet Assigned |
| Application No.: Not Yet Assigned |) Examiner: Not Yet Assigned |
| Filed: September 16, 2003 |) |
| For: OPTICAL TRANSMISSION CONTROLLER |))) |

Mail Stop Patent Application

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REQUEST FOR NON-PUBLICATION OF APPLICATION AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

As an authorized agent of the above-identified Applicant, the undersigned attorney hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

I further understand that this non-publication request may be rescinded at any time and if so, the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

I further understand that if Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the United States Patent and Trademark Office must be notified of such filing within forty-five (45) days after the date of the filing of such foreign or international application. I also understand that failure by Applicant to so notify the Patent and Trademark Office will result in abandonment of this application.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 16, 2003

Reg. No. 41,008

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No.: EV 351294369 US

I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

September 16, 2003

Signed: